

### **Request for categorisation as an elective professional client:**

Under the Markets in Financial Instruments Directive, we may treat you as an elective professional client if, after our assessment of your expertise, experience, and knowledge of you, we are reasonably assured that, in light of the nature of the transactions or services envisaged, you are capable of making your own investment decisions and understanding the risks involved. This is the “qualitative test”.

In making our assessment we may rely on information we already possess about you and/or request additional information from you and/or call you to discuss your investment experience.

In addition to this qualitative test, you must satisfy at least 2 of the following 3 criteria:

- (1) You have carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter over the previous 4 quarters.
- (2) The size of your financial instrument portfolio, defined as including cash deposits AND financial instruments, exceeds EUR 500,000.
- (3) You work or have worked in the financial sector for at least one year in a professional position, which requires knowledge of the transaction or services envisaged.

### **CLIENT DECLARATION AND WARRANTIES:**

I warrant that I/this entity/we \_\_\_\_\_ satisfy at least two of the three criteria listed above.

Furthermore, I confirm that I wish to be treated as a professional client generally by AcierFX Ltd, previously ArgusFX Ltd.

I have read and understood the written warning below from AcierFX Ltd, previously ArgusFX Ltd, regarding the protections and compensation rights that I may lose, and I am aware of the consequences of losing such protections.

### **WARNING OF LOSS OF FOLLOWING PROTECTIONS:**

As a Professional Client, you will lose the following protections afforded to Retail Clients:

- Communications, including financial promotions made by us with professional clients are not subject to all of the requirements imposed by MiFID on communications with Retail clients.
- Information provisions about AcierFX Ltd, previously ArgusFX Ltd (hereafter, “the Company”), its services, and remuneration that are required with respect to retail clients are not all required with respect to professional clients.

#### **AcierFX Ltd, previously ArgusFX Ltd**

Authorised and regulated by the Cyprus Securities and Exchange Commission (CySEC). CIF Licence Number: 334/17. Registration Number: HE111588, Address: 148 Strovolos Avenue, 1<sup>st</sup> Floor, 2048, Nicosia- Cyprus. Tel: +357 22059059, Email: [info@argusfx.com](mailto:info@argusfx.com), Website: [www.argusfx.com](http://www.argusfx.com)

- Professional clients are not eligible complainants with respect to the Financial Ombudsman Services (“FOS”) Pre-requirements for the entry into written basic agreements for designated investment business may not apply to professional clients.
- If the Company makes a personal recommendation or manages investments professional client in the course of MiFID or equivalent third party business, it is entitled to assume that, in relation to the products, transactions and services for which the professional client is so classified, the client has the necessary level of experience and knowledge for the purposes of suitability assessment, and where the Company is required to provide suitability reports to a retail client, in many cases the Company is not required to provide them to a professional client.
- With respect to non-advised services, the Company is not required to request information or adhere to the same procedures when assessing the appropriateness of a given service or product for a professional client, and
- The Company may not be required to give warnings to the professional client if the Company cannot determine appropriateness with respect to a given services or product
- The Company must provide certain product information to retail clients, but not professional clients, when selling packaged products.
- When managing investments for a client, the Company must provide the client with a periodic statement. For retail clients, the content of the statement is prescribed, but for professional clients, it is not.
- The Company may take into consideration the classification of the client in following its Order Execution Policy, as well as in providing information, including product information, to clients.
- Professional clients are not eligible to any compensation by the Company through the Investors Compensation Fund.

Finally, I am aware that it is up to me/us/this entity to keep AcierFX Ltd previously ArgusFX Ltd informed of any change that could affect my categorisation.

Signature:

Date:

Full Name:

On behalf of (if you are signing on behalf of a company):

AcierFX previously ArgusFX Account No (if applicable):

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